## 1 Recap

We have the universal smooth hypersurface of degree d in  $\mathbb{P}^n$ ,

$$
\mathcal{Y} \subset \mathbb{P}^n \times B
$$

$$
\downarrow^{\pi}
$$

$$
B \subset H^0(\mathbb{P}^n, \mathscr{O}_{\mathbb{P}^n}(d))
$$

where  $B$  is the (Zariski open) smooth locus.

Note 1.1. We need to look at an open subset  $B$ , because otherwise the projection is not a submersion hence we can't use Ehresmann. The other way to think about this is that the fiber over a regular point is a smooth submanifold.

Take  $B^{\circ}$  an open subset of B parametrizing hypersurfaces without any non-trivial automorphism, and take the quotient by the  $GL(n + 1)$  action on  $H^0(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(d))$ . This induces a quotient on Y as well, and by abuse of notation we can this new family  $\mathcal{Y} \to B$  as well. For any  $f \in B$ , this is a universal family of deformations for the hypersurface  $Y_f = \pi^{-1}(f)$ .

Note 1.2. The idea of taking  $B^{\circ}$  is probably to avoid dealing with GIT quotient, since we are essentially removing the non-closed orbits.

This family gives us a period map:

$$
\mathscr{P}:B\to \Gamma\backslash D
$$

Note 1.3. Infinitesimal Torelli says that this map is an immersion, while generic Torelli says that it has degree 1 over the image.

We can look at this map in more details. Pick  $f \in B$ , and consider the lattice:

$$
V = H^{n-1}(Y_f, \mathbb{Z})_{\text{prim}} = \ker\left(H^{n-1}(Y_f, \mathbb{Z}) \xrightarrow{\iota_*} H^{n+1}(\mathbb{P}^n, \mathbb{Z})\right)
$$

which can be thought of as  $\smile H$  (cup product with hyperplane class). Then we can think of D as living inside  $\prod^{n-1}$  $p=0$  $\text{Gr}(h^p, V_{\mathbb{C}})$  where  $h^p = \dim F^p V_{\mathbb{C}}$ . We can locally identify the differential:

$$
d\mathscr{P}_f: T_{B,f} \to \bigoplus_p \text{Hom}\left(F^p V_{\mathbb{C}/F^{p+1}V_{\mathbb{C}}}, F^{p-1} V_{\mathbb{C}/F^p V_{\mathbb{C}}}\right)
$$
  

$$
T_{B,f} \to \bigoplus_p \text{Hom}\left(H^{p,n-1-p}(Y_f, \mathbb{C})_{\text{prim}}, H^{p-1,n-p}(Y_f, \mathbb{C})_{\text{prim}}\right)
$$
  

$$
u \mapsto \bigoplus_p \overline{\nabla}_{p,f}(-,u)
$$

where  $\overline{\nabla}_{p,f}: F^pV_{\mathbb{C}}/F^{p+1}V_{\mathbb{C}} \to F^{p-1}V_{\mathbb{C}}/F^pV_{\mathbb{C}} \otimes \Omega_{B,f}$  which comes from the Gauss-Manin connection  $\nabla : \mathscr{V} \to \mathscr{V} \otimes \Omega_B$  where  $\mathscr{V} = V_{\mathbb{C}} \otimes \mathscr{O}_B$ .

**Definition 1.1.** Let  $S = \bigoplus_k H^0(\mathbb{P}^n, \mathscr{O}_{\mathbb{P}^n}(k))$  and  $J_f$  be the Jacobian ideal generated by partial derivatives  $\frac{\partial f}{\partial X_i}$ . The quotient ring is denoted  $R_f = \frac{S}{J_f}$ .

Hank showed last week that we can identify  $\overline{\nabla}_{p,f}$  with the map given by multiplication

$$
\overline{\nabla}_{p,f}:R_f^{(n-p)d-n-1}\to\operatorname{Hom}\left(R_f^d,R_f^{(n-p+1)d-n-1}\right)
$$

which gives (the map in each coordinate is given by multiplication)

$$
d\mathscr{P}_f: R_f^d \to \bigoplus_p \text{Hom}\left(R_f^{(n-p)d-n-1}, R_f^{(n-p+1)d-n-1}\right)
$$

## 2 Infinitesimal Torelli

This theorem says that  $d\mathcal{P}_f$  is injective except for cubic surfaces in  $\mathbb{P}^3$  (where there is no Hodge theory since  $h^{2,0} = 0$ ) and quadratic hypersurfaces (where the quotient  $B^{\circ}$  by  $GL(n + 1)$  is just a point).

Note 2.1. The whole quadric hypersurface business has to do with all smooth quadratic forms being projectively equivalent to  $X_0^2 + X_1^2 + ... + X_n^2$  (the other ones have smaller ranks hence not smooth).

**Definition 2.1.** Let  $S = \mathbb{C}[X_0, X_1, ..., X_n]$  and  $\{G_i\}_{i=0}^n$  be a sequence of homogeneous polynomials  $G_i \in S^{d_i}$  with no common zero. Let  $R_G = \frac{S'}{\langle G_0, G_1, ..., G_n \rangle} = \frac{S'}{\langle G_0, G_1, ..., G_n \rangle}$ 

Note 2.2.  $\mathbb{V}(J_G) = \emptyset$  since no common zero, and thus by weak Hilbert's Nullstellensatz 1 ∈  $J_G$  thus  $J_G^k = S^k$  for k large enough (here we are saying they agree for high enough degree, not talking about powers of ideals). Since  $J_G$  and S agrees for large degree,  $R_G = \frac{S}{J_G}$  is finite dimensional as a  $\mathbb{C}-\text{vector space}$  hence  $R_G$  is Artinian.

**Theorem 2.2** (Macaulay). Let  $N = \binom{n}{\sum_{i=1}^{n}}$  $i=0$  $d_i$  $-n-1$ . We have  $\dim_{\mathbb{C}} R_G^N = 1$ , and for every  $k \in \mathbb{Z}$  we have a perfect pairing  $R_G^k \times R_G^{N-k} \to R_G^N$ 

Corollary 2.3. We have the following:

- 1.  $R_G^k \neq 0 \Leftrightarrow 0 \leq k \leq N$ .
- 2. For  $a, b \in \mathbb{Z}$  with  $b \geq 0$  and  $a + b \leq N$ , the map given by product

$$
\mu: R_G^a \to \text{Hom}(R_G^b, R_G^{a+b})
$$

is injective.

Note 2.3.  $R_G^N$  is the socle of the ring. See this [note](https://dept.math.lsa.umich.edu/~hochster/711F07/L10.24.pdf) which gives that a quotient local ring of dimension 0 (Artinian) is gorenstein iff its socle is 1-dimensional. Localize, the maximal ideal looks like  $(X_0, ..., X_N)$ , then the socle is the biggest submodule of  $R_G$  that is annihilated by the maximal ideal hence is  $R_G^N$ 

Once we have this corollary,  $d\mathscr{P}_f$  is injective iff it's injective on at least one coordinate hence we are done if we can find some p such that  $R_f^d \to \text{Hom}\left(R_f^{(n-p)d-n-1}\right)$  $h_f^{(n-p)d-n-1}, R_f^{(n-p+1)d-n-1}$ is injective. So we just need to find some  $p$  such that

$$
(n-p)d - n - 1 \ge 0, \quad (n-p+1)d - n - 1 \le (d-1)(n+1) - n - 1
$$

and this is always possible except for the cubic surface and quadric hypersurfaces cases.

*Proof of corollary 2.3.* For the first part, clearly  $R_G^k = 0$  for  $k < 0$ . Let  $k > N$  then  $R_G^{N-k} = 0$  so  $R_G^k = 0$ . Now consider  $0 \le k \le N$ , suppose that  $R_G^k = 0$  then  $R_G^l = 0$  for all  $l \geq k$  since any polynomial of degree l has a factor of degree k. This in turn implies that  $N < k$  since dim  $R_G^N = 1$ .

For the second part, consider  $p(X) \in \ker \mu \subset R_G^a$  then  $p(X)q(X) = 0$  for all  $q(X) \in R_G^b$ . Then for any  $r(X) \in R_G^{N-a-b}$ , we have  $p(X)q(X)r(X) = 0 \in R_G^N$ . On the other hand, any  $h(X) \in R_G^{N-a}$  can be factored as  $q(X)r(X)$  so the map  $R_G^{N-a}$ G  $\xrightarrow{\cdot p(X)} R_G^N$  is zero. The perfect pairing in Macaulay's theorem gives

$$
R_G^k \simeq \text{Hom}(R^{N-k}, R_G^N)
$$

hence  $p(X) = 0$ . Thus  $\mu$  is injective.

Note 2.4. The proof is essentially correct, but it's very important that  $R_G$  is artinian here. In an artinian ring, primes are maximals hence the only irreducibles are linear factors. It's not true that we have factorization in  $\mathbb{C}[X_0, ..., X_n].$ 

*Proof of theorem 2.2.* Let  $\mathcal{L} = \bigoplus_{i=0}^{n} \mathscr{O}_{\mathbb{P}^n}(-d_i)$  then we get morphism

$$
s: \mathscr{L} \xrightarrow{\begin{pmatrix} G_0 & G_1 & \dots & G_n \end{pmatrix}} \mathscr{O}_{\mathbb{P}^n}
$$

then the dual  $s^{\vee}$ , given by the transpose of  $(G_0 \ G_1 \ \ldots \ G_n)$ , can be thought of as a section of  $\mathscr{L}^{\vee}$ . Furthermore,  $J_G^k = \text{im } s(k) : H^0(\mathbb{P}^n, \mathscr{L}(k)) \to H^0(\mathbb{P}^n, \mathscr{O}_{\mathbb{P}^n}(k))$ . Let  $Z = \mathbb{V}(s^{\vee})$  then we have the Koszul resolution

$$
0 \to \bigwedge^{n+1} \mathscr{L} \to \bigwedge^n \mathscr{L} \to \dots \to \mathscr{L} \to \mathscr{O}_{\mathbb{P}^n} \to \mathscr{O}_Z \to 0
$$

Now it's clear, from the matrix form, that the zero locus of  $s^{\vee}$  is  $\mathbb{V}(J_G)$  which is empty, hence the complex

$$
0 \to \bigwedge^{n+1} \mathscr{L} \to \bigwedge^n \mathscr{L} \to \dots \to \mathscr{L} \to \mathscr{O}_{\mathbb{P}^n} \to 0
$$

is acyclic. Call this complex  $0 \to \mathscr{L}^0 \to \mathscr{L}^1 \to \dots \to \mathscr{L}^n \to \mathscr{L}^{n+1} \to 0$ . Now we have the following spectral sequence of filtered complex  $A^{\bullet}$ ,

$$
E_1^{p,q} = \mathcal{R}^q F(A^p) \Rightarrow \mathcal{R}^{p+q} F(A^{\bullet})
$$

In our case, let  $F = \Gamma$  and  $A^{\bullet} = \mathscr{L}^{\bullet}(k)$  then the sequence becomes

$$
E_1^{p,q}=H^q(\mathbb{P}^n,\mathscr{L}^p(k))\Rightarrow \mathbb{H}^{p+q}(\mathbb{P}^n,\mathscr{L}^\bullet(k))
$$

Now  $\mathscr{L}^{\bullet}$  is acyclic hence  $\mathscr{L}^{\bullet}(k)$  is acyclic, hence trivial in the derived category. Thus the hypercohomology is 0 (in general if  $A^{\bullet}$  is acyclic then  $R^i F(A^{\bullet}) = \mathcal{H}^i (R F(A^{\bullet})) = 0$ by the same reasoning). On the other hand,  $\mathscr{L}^q(k)$  is a direct sum of line bundle so by Hartshorne's p. 209 (colimit commutes with cohomology),  $E_1^{p,q} = 0$  unless  $q = 0, n$ .

 $\Box$ 

Note 2.5.  $\bigwedge^n (M \oplus N) = \bigoplus_{p+q=n} \bigwedge^p (M) \otimes \bigwedge^q (N)$ .



hence the  $E_3$  page looks the same, just with different arrows. Now these arrows are still either coming from 0 or pointing to 0 till the  $E_{n+1}$  page, i.e.,  $d_r: E_r^{p,q} \to E^{p+r,q-r+1}$  is 0 for  $2 \leq r \leq n$  hence  $E_2^{\bullet,\bullet} = \overline{E_3^{\bullet,\bullet}} = \ldots = E_{n+1}^{\bullet,\bullet}$ . The  $E_{n+1}$  page looks like



thus the  $E_{\infty}$  page looks like



and since this converges to hypercohomology which is 0, we have all these terms equal to 0. Thus we have an isomorphism between  $E_2^{0,n}$  $E_2^{0,n}$  and  $E_2^{n+1,0}$  $2^{n+1,0}$ . Now,

2

$$
E_2^{n+1,0} = \text{Coker}\left(E_1^{n,0} \to E_1^{n+1,0}\right)
$$
  
= Coker $\left(H^0(\mathbb{P}^n, \mathcal{L}(k)) \stackrel{s}{\to} H^0(\mathbb{P}^n, \mathscr{O}_{\mathbb{P}^n}(k))\right) = S^k / J_G^k = R_G^k$   

$$
E_2^{0,n} = \ker\left(E_1^{0,n} \to E_1^{1,n}\right)
$$
  
= 
$$
\ker\left(H^n\left(\mathbb{P}^n, \bigwedge^{\{n+1\}} \mathcal{L}(k)\right) \stackrel{s}{\to} H^n\left(\mathbb{P}^n, \bigwedge^{\{n\}} \mathcal{L}(k)\right)\right)
$$

On the other hand, we have

$$
\bigwedge^{n+1} \mathscr{L}(k) = \bigotimes_{i=0}^{n} \mathscr{O}_{\mathbb{P}^n}(-d_i) = \mathscr{O}_{\mathbb{P}^n}\left(k - \sum_{i=0}^{n} d_i\right)
$$

since  $\mathscr{L} = \bigoplus_{i=0}^n \mathscr{O}_{\mathbb{P}^n}(-d_i)$ , and

$$
\begin{aligned}\n\bigwedge^{n} \mathscr{L}(k) &= \mathscr{O}_{\mathbb{P}^n}(k) \otimes \bigwedge^{n} \mathscr{L} \\
&= \mathscr{O}_{\mathbb{P}^n}(k) \otimes \mathscr{L}^\vee \otimes \bigwedge^{n+1} \mathscr{L} \\
&= \mathscr{H}om\left(\mathscr{L}, \bigwedge^{n+1} \mathscr{L}(k)\right) \\
&= \mathscr{H}om\left(\mathscr{L}, \mathscr{O}_{\mathbb{P}^n}\left(k - \sum_{i=0}^n d_i\right)\right) \\
&= \mathscr{L}^\vee\left(k - \sum_{i=0}^n d_i\right)\n\end{aligned}
$$

Note 2.6. We need to check that  $\mathscr{L}^{\vee} \otimes \bigwedge^{n+1} \mathscr{L} \simeq \bigwedge^n \mathscr{L}$ . This is only really true in this case because  $\wedge^{n+1} \mathscr{L}$  is a line bundle. In the normal case, suppose  $\mathscr{L}$  has rank m, then a comparison of dimension gives  $m \cdot {m \choose n+1} = {m \choose n}$  which has little chance of being true.

By Serre duality, we get

$$
(E_2^{0,n})^{\vee} = \text{Coker}\left(H^0\left(\mathbb{P}^n, \mathscr{L}\left(-n-1-k+\sum_{i=0}^n d_i\right)\right) \to H^0\left(\mathbb{P}^n, \mathscr{O}_{\mathbb{P}^n}\left(-n-1-k+\sum_{i=0}^n d_i\right)\right)\right)
$$

$$
= R_G^{-n-1-k+\sum d_i} = R_G^{N-k}
$$

thus we get an isomorphism

$$
\mathrm{d}_{n+1}: \left(R_G^{N-k}\right)^\vee \to R_G^k
$$

To conclude the perfect pairing we just need to check that the isomorphisms is compatible with multiplications, i.e., the following diagram is commutative



which should follow from the fact that our section s was defined using multiplications.  $\Box$ 

## 3 Generic Torelli

For generic Torelli, we need the symmetriser lemma

Lemma 3.1. Let

$$
T^{a,b} = \left\{ \phi \in \text{Hom}(R_G^a, R_G^b) \middle| p(X) \cdot \phi(q(X)) = \phi(p(X)) \cdot q(X) \ \forall \ p(X), q(X) \in R_G^a \right\}
$$

If  $a + b < N$  and  $\max_i(d_i + b) \leq N$  then we have

$$
\mu(R_G^{b-a}) = T^{a,b} \subset \text{Hom}(R_G^a, R_G^b)
$$

**Theorem 3.2** (Generic Torelli). The period map  $\mathscr{P}: B \to \Gamma \backslash D$  has degree 1 over its image, with the following possible exceptions:

- 1. d divides  $n + 1$ ;
- 2.  $d = 3, n = 3$ , *i.e.*, *cubic surfaces in*  $\mathbb{P}^2$ ;
- 3.  $d = 4, n \equiv 1 \mod 4$ ;
- 4.  $d = 6, n \equiv 2 \mod 6$ .

Note 3.1. The statement for quadric hypersurfaces is trivial, since  $B$  is just a single point.

Voisin's argument (in her book) on  $(H^{n-1}(Y_f,\mathbb{Z})_{\text{prim}},F^{\bullet}) \simeq (H^{n-1}(Y_g,\mathbb{Z})_{\text{prim}},F^{\bullet}),$  with very general f, inducing an isomorphism of variations of Hodge structures on neighborhoods  $U \ni f$  and  $V \ni g$  is sketchy. See her 2020 [paper](https://arxiv.org/pdf/2004.09310.pdf) on extending generic Torelli to see a (seemingly) clearer argument.

Note 3.2. The argument in Voisin's book is correct. The idea is that the period map is an immersion, hence locally (on the target) it looks like a covering map. If 2 points  $f, g \in B$ get mapped the same Hodge structure then we have 2 neighborhoods  $U \ni f, V \ni g$  mapping isomorphically to the same neighborhood of the Hodge structure in D.

The moral of the story is that such an isomorphism induces a commutative diagram

$$
R_f^d \xrightarrow{\mathrm{d} \mathscr{P}_f} \bigoplus_p \mathrm{Hom}\left(R_f^{(n-p)d-n-1}, R_f^{(n-p+1)d-n-1}\right)
$$
  
\n
$$
\simeq \downarrow \qquad \qquad \downarrow \simeq
$$
  
\n
$$
R_g^d \xrightarrow{\mathrm{d} \mathscr{P}_g} \bigoplus_p \mathrm{Hom}\left(R_g^{(n-p)d-n-1}, R_g^{(n-p+1)d-n-1}\right)
$$

and we claim that such a diagram is enough to conclude  $Y_f$  and  $Y_g$  are isomorphic. Let k be the smallest non-zero integer that can be written as  $k = (n - p)d - n - 1$ . Since d does not divide  $n + 1$ ,  $k < d$  (since we can change the RHS by  $\pm d$ ). Then we have a diagram

$$
R_f^d \xrightarrow{\mu} \text{Hom}(R_f^k, R_f^{k+d})
$$
  
\n
$$
\downarrow^{l_d}
$$
  
\n
$$
R_g^d \xrightarrow{\mu} \text{Hom}(R_g^k, R_g^{k+d})
$$

By the symmetriser theorem, we can identify the image of  $R_f^{d-k}$  $f_f^{d-k}$  under multiplication with

$$
T_f^{k,d} = \left\{ \phi \in \text{Hom}(R_f^k, R_f^d) \middle| p(X) \cdot \phi(q(X)) = \phi(p(X)) \cdot q(X) \ \forall \ p(X), q(X) \in R_f^k \right\}
$$

Note that we have  $R_f^k \simeq R_g^k$  through  $\iota_k$  (since these are the same graded pieces of isomorphic Hodge structures) and similarly with  $\iota_{k+d}$ . Consider  $\alpha(X) \in R_f^{d-k}$  $f^{d-k}_{f}$ , define a map  $\phi \in \text{Hom}(R_g^k, R_g^d)$  as follows: for any  $A_g(X) \in R_g^k$  there is  $A_f(X) \in R_f^k$  such that  $\iota_k(A_f(X)) = A_g(X)$ , and we define  $\phi(A_g(X)) = \iota_d(\alpha(X) \cdot A_f(X))$ . In other words,

$$
\phi(A_g(X)) = \iota_d(\alpha(X) \cdot \iota_k^{-1}(A_g(X)))
$$

which gives the C−linear structure of  $\phi$  for free. Now let  $B_g(X) \in R_g^k$ , then the above diagram gives

$$
B_g(X) \cdot \phi(A_g(X)) = B_g(X) \cdot \iota_d(\alpha(X) \cdot \iota_k^{-1}(A_g(X)))
$$
  
\n
$$
= (\mu \circ \iota_d)(\alpha(X) \cdot \iota_k^{-1}(A_g(X)))(B_g(X))
$$
  
\n
$$
= ((\varphi \mapsto \iota_{k+d} \circ \varphi \circ \iota_k^{-1}) \circ \mu)(\alpha(X) \cdot \iota_k^{-1}(A_g(X)))(B_g(X))
$$
  
\n
$$
= (\varphi \mapsto \iota_{k+d} \circ \varphi \circ \iota_k^{-1})(\bullet \mapsto (\bullet) \cdot \alpha(X) \cdot \iota_k^{-1}(A_g(X))) (B_g(X))
$$
  
\n
$$
= \iota_{k+d} \circ (\bullet \mapsto (\bullet) \cdot \alpha(X) \cdot \iota_k^{-1}(A_g(X))) \circ \iota_k^{-1}(B_g(X))
$$
  
\n
$$
= \iota_{k+d}(\iota_k^{-1}(B_g(X)) \cdot \alpha(X) \cdot \iota_k^{-1}(A_g(X)))
$$
  
\n
$$
= \iota_{k+d}(\iota_k^{-1}(A_g(X)) \cdot \alpha(X) \cdot \iota_k^{-1}(B_g(X)))
$$
  
\n
$$
= \iota_{k+d} \circ (\bullet \mapsto (\bullet) \cdot \alpha(X) \cdot \iota_k^{-1}(B_g(X))) \circ \iota_k^{-1}(A_g(X))
$$
  
\n
$$
= A_g(X) \cdot \phi(B_g(X))
$$

so by symmetriser lemma,  $\phi = \mu(\beta(X))$  for some  $\beta(X) \in R_g^k$ . To check that this correspondence  $\alpha(X) \mapsto \beta(X)$  is C−linear we probably just need to show that these  $T^{k,d}$  are  $\mathbb{C}-$ subspace and  $\mu^{-1}: T^{k,d} \to R^{d-k}$  is  $\mathbb{C}-$ linear. In summary we get a a new isomorphism  $\iota_{d-k}: R_f^{d-k} \to R_g^{d-k}$ , with a new diagram



Iterating this process, for  $\delta = \gcd(d, n + 1)$  we get an isomorphism

$$
R_f^{(\delta)} \simeq R_g^{(\delta)}
$$

which are subrings consisting of degrees divisible by  $\delta$ . The claim is that for  $\delta < d$  we can recover the ring structure on  $R_f$ ,  $R_g$  which gives  $J_f \simeq J_g$ . Mather-Yau's theorem then says that  $Y_f$  and  $Y_g$  are projectively equivalent, and we are done.

**Note 3.3.** The idea seems to be that for  $\delta < d$ ,  $R_f^{\delta} \simeq S^{\delta} \simeq R_g^{\delta}$ . This implies

$$
S^d \simeq \text{Sym}^{d/\delta} S^\delta \simeq \text{Sym}^{d/\delta} R_f^\delta \to R_f^d
$$

is surjective with kernel  $J_f$ . The map  $Sym^{d/\delta}S^{\delta} \to R_g^d$  with kernel  $J_g$  is the same map since we have identified both  $R_f^{\delta}, R_g^{\delta}$  with  $S^{\delta}$  and this identification respects multiplication. It follows that  $J_f \simeq J_g$ .